

Practical Tips for Transaction Monitoring and Suspicious Transaction Reporting

Programme Code: HGCP00P25012

21 January 2025 (Tuesday)
7:00pm –10:00pm



3 HOURS
HKIB ECF SFC
CPD CPD CPT

Programme Outline

- To recap the SIs of money laundering and demonstrate the JFIU's SAFE Approach
- To illustrate the common issues encountered in the TM and STR processes and the pragmatic approach to dealing with them via case studies
- To demonstrate the HKMA's expectations on TM, especially focusing on the development, implementation and review of TM systems
- To explain the regulatory expectations on STR

Speaker Introduction

The speaker has over 20 years of experience in the financial services industry. Currently, she teaches compliance and regulations in a local university as an adjunct associate professor. Besides, she provides professional training and consultancy services to various institutions. Previously, she served as the Head of Compliance for private banks. The speaker holds professional qualifications including CFA, FCCA, Certified Banker, CAMLP, CCOP(GC) and CCOP(IIC) etc. In addition, she holds a Master's degree in Regulation.

Target Audience

1. Banking Practitioners;
2. Compliance and Risk management Officers, Financial Professionals;
3. HKIB Professional Qualification Holders:



Programme Delivery

Virtual Classroom (Zoom)
English

Application DEADLINE

14 January 2025 (Tuesday)



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